

Jensen's forcing at an inaccessible

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Jensen's forcing and applications

Jensen's forcing \mathbb{P}^J is a subset of the Sacks forcing constructed in L using \diamond .

- Perfect trees ordered by \subseteq .
- Has the ccc.
- Adds a unique Π_2^1 -definable generic real over L .

Theorem: (Jensen) It is consistent that there is a Π_2^1 -definable non-constructible real.

Theorem: (Lyubetsky, Kanovei) Suppose $\mathbb{P}^{J<\omega}$ is the finite-support product of \mathbb{P}^J of length ω and $G \subseteq \mathbb{P}^{J<\omega}$ is L -generic. Then in $L[G]$, a real r is L -generic for \mathbb{P}^J if and only if it is added by the n -th slice of G for some $n < \omega$.

Theorem: (Lyubetsky, Kanovei) There is a countable OD set of reals without an OD real.

Theorem: (Friedman, G., Kanovei) There is a model of second-order arithmetic Z_2 with the choice scheme in which the Π_2^1 -dependent choice scheme fails.

The model is the reals of a symmetric submodel of a forcing extension by a tree iteration of \mathbb{P}^J .

Perfect posets

An infinite tree $T \subseteq 2^{<\omega}$ is **perfect** if every node of T has a splitting node above it.

Proposition: If T and S are perfect trees such that $T \cap S$ contains a perfect tree, then there is a maximal such perfect tree denoted $T \wedge S$.

A subset \mathbb{P} of Sacks forcing is **perfect** if:

- $(2^{<\omega})_s \in \mathbb{P}$ for every $s \in 2^{<\omega}$.
For every $T, S \in \mathbb{P}$:
- $T \cup S \in \mathbb{P}$ (closed under unions),
- $T \wedge S \in \mathbb{P}$ (closed under meets).



Proposition: Suppose that \mathbb{P} is a perfect poset and $G \subseteq \mathbb{P}$ is V -generic. Let $r = \bigcap_{T \in G} T$. Then in $V[G]$:

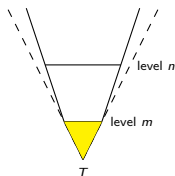
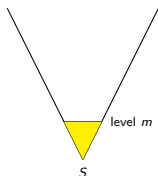
- r is a cofinal branch through every tree in G .
- If $r \in [T]$ for some $T \in \mathbb{P}$, then $T \in G$.

Smallest perfect poset $\mathbb{P}_{\min} = \{(2^{<\omega})_s \mid s \in 2^{<\omega}\}$.

The fusion poset $\mathbb{Q}(\mathbb{P})$

Suppose that \mathbb{P} is a **perfect poset**.

$\mathbb{Q}(\mathbb{P})$: elements are pairs (T, n) with $T \in \mathbb{P}$ and $n < \omega$ ordered by $(T, n) \leq (S, m)$ if $n \geq m$ and $T \cap 2^m = S \cap 2^m$.



Fusion arguments with trees from \mathbb{P} can be expressed by **meeting dense sets of $\mathbb{Q}(\mathbb{P})$** .

Proposition: Suppose that $G \subseteq \mathbb{Q}(\mathbb{P})$ is V -generic. Then in $V[G]$,

$$\mathcal{T} = \bigcup_{(T,n) \in G} T \cap 2^n$$

is a **perfect tree** and $\mathcal{T} \subseteq T$ for every condition $(T, n) \in G$.

$\mathbb{Q}(\mathbb{P})^{<\omega}$: finite support ω -length product of the $\mathbb{Q}(\mathbb{P})$.

Growing perfect posets with generic perfect trees

Set-up

- \mathbb{P} is a perfect poset
- $\mathbb{Q}(\mathbb{P})$ is a fusion poset for \mathbb{P}
- $G \subseteq \mathbb{Q}(\mathbb{P})^{<\omega}$ is V -generic
- \mathcal{T}_n is the generic perfect tree added by the n -th slice of G

In $V[G]$

\mathbb{P}^* : close $\{\mathcal{T}_n \mid n < \omega\} \cup \mathbb{P}$ under meets and unions.

Proposition:

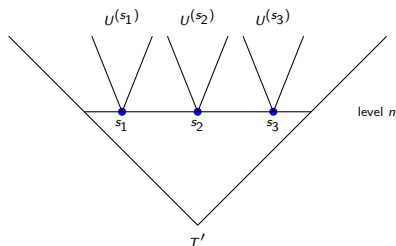
- $\{(\mathcal{T}_n)_s \mid n < \omega, s \in \mathcal{T}_n\}$ is dense in \mathbb{P}^* .
- $\{\mathcal{T}_n \mid n < \omega\}$ is a maximal antichain of \mathbb{P}^* .
- Every maximal antichain of \mathbb{P} from V remains maximal in \mathbb{P}^* .

An argument template for \mathbb{P}^*

Proof sketch: Fix a maximal antichain \mathcal{A} of \mathbb{P} from V .

Suffices to show that for a level n , for every $s \in 2^n$, $\mathcal{T}_s \subseteq A$ for some $A \in \mathcal{A}$.

- Fix a condition $(T, n) \in \mathbb{Q}(\mathbb{P})$.
- For every s on level n of T , choose $A^{(s)} \in \mathcal{A}$ compatible with T_s .
- Let $U^{(s)} \subseteq T_s, A^{(s)}$ in \mathbb{P} .
- Let T' be obtained by replacing T_s with $U^{(s)}$ (closure under unions).
- Conditions of the form (T', n) are dense in $\mathbb{Q}(\mathbb{P})$.
- $\mathcal{T}_s \subseteq U^{(s)} \subseteq A^{(s)}$ for every s on level n of T .



Jensen's forcing: \mathbb{P}^J

Work in L and fix a canonical \diamond -sequence $\vec{D} = \{D_\xi \mid \xi < \omega_1\}$.

A model M is **suitable** if M is countable, $M = L_\alpha$ for some α , and $M \models \text{ZFC}^- + P(\omega)$ exists.

Observations:

- The Mostowski collapse M of any countable $X \prec L_{\omega_2}$ is suitable.
- If M is suitable and $\delta = (\omega_1)^M$, then $\langle D_\xi \mid \xi < \delta \rangle \in M$.

\mathbb{P}^J : union of a chain $\mathbb{P}_0 \subseteq \mathbb{P}_1 \subseteq \dots \subseteq \mathbb{P}_\xi \subseteq \dots$ of length ω_1 of perfect posets.

$$\mathbb{P}_0 = \mathbb{P}_{\min}$$

$$\mathbb{P}_\lambda = \bigcup_{\xi < \lambda} \mathbb{P}_\xi \text{ at limits } \lambda.$$

Suppose \mathbb{P}_ξ has been defined.

If D_ξ codes a suitable model M_ξ such that $\mathbb{P}_\xi \in M_\xi$ and $(\omega_1)^{M_\xi} = \xi$:

- Let G_ξ be the L -least M_ξ -generic filter for $\mathbb{Q}(\mathbb{P}_\xi)^{<\omega}$.
- $\mathbb{P}_{\xi+1} = \mathbb{P}_\xi^*$ as constructed in $M_\xi[G_\xi]$.

Otherwise, $\mathbb{P}_{\xi+1} = \mathbb{P}_\xi$.

Sealing Lemma: Every maximal antichain of \mathbb{P}_ξ from M_ξ remains maximal in \mathbb{P}^J .

Perfect κ -trees

Suppose that κ is an **inaccessible cardinal**.

A **perfect κ -tree** is a tree $T \subseteq 2^{<\kappa}$ such that:

- T has **size κ** (T is a κ -tree).
- **Every node** of T has a **splitting node above it** (T is splitting).
- For every **limit $\lambda < \kappa$** if $s \in 2^\lambda$ and $s \upharpoonright \xi \in T$ for every $\xi < \lambda$, then $s \in T$ (T is closed).
- For every **limit $\lambda < \kappa$** if $s \in 2^\lambda$ and for **cofinally many $\xi < \lambda$** , $s \upharpoonright \xi$ splits, then s **splits** (the splitting nodes of T are closed).

Proposition: Suppose that $\{T_\xi \mid \xi < \beta\}$, for $\beta < \kappa$, is a **\subseteq -decreasing sequence of perfect κ -trees**. Then $T = \bigcap_{\xi < \beta} T_\xi$ is a **perfect κ -tree**.

Badly behaved perfect κ -trees

Proposition: There are **perfect κ -trees** whose **intersection does not contain a maximal perfect κ -tree**.

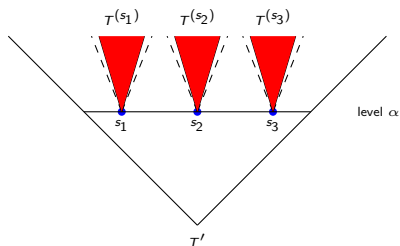
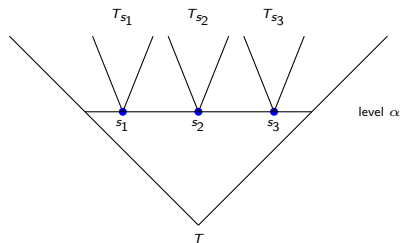
Proposition: There are **ω -many perfect κ -trees** whose **union is not a perfect κ -tree**.

κ -perfect posets

Suppose that κ is an **inaccessible cardinal**.

A collection \mathbb{P} of **perfect κ -trees** ordered by \subseteq is a **κ -perfect poset** if:

- $2^{<\kappa} \in \mathbb{P}$.
- If $T \in \mathbb{P}$ and $t \in T$, then $T_t \in \mathbb{P}$.
- If $\{T_\xi \mid \xi < \beta\} \subseteq \mathbb{P}$, with $\beta < \kappa$, then $T = \bigcap_{\xi < \beta} T_\xi \in \mathbb{P}$ (**$<\kappa$ -closure property**).
- Suppose $T \in \mathbb{P}$, $\alpha < \kappa$ is a **successor**, and $\{T^{(s)} \subseteq T_s \mid s \in T \cap 2^\alpha\} \subseteq \mathbb{P}$. Then $T' = \bigcup_{s \in 2^\alpha} T^{(s)} \in \mathbb{P}$ (**weak union property**).



κ -perfect posets (continued)

Proposition: Suppose \mathbb{P} is a κ -perfect poset and $G \subseteq \mathbb{P}$ is V -generic. Let $A = \bigcap_{T \in G} T$. Then in $V[G]$:

- A is cofinal branch through every tree in G .
- If $A \in [T]$ for some $T \in \mathbb{P}$, then $T \in G$.

Smallest κ -perfect poset \mathbb{P}_{\min} : close $\{(2^{<\kappa})_s \mid s \in 2^{<\kappa}\}$ under $<\kappa$ -intersection property and weak union property.

$$\mathbb{P}_0 = \{(2^{<\kappa})_s \mid s \in 2^{<\kappa}\}$$

$$\mathbb{P}_\lambda = \bigcup_{\xi < \lambda} \mathbb{P}_\xi \text{ for limits } \lambda$$

Suppose \mathbb{P}_ξ has been defined.

$\mathbb{P}'_{\xi+1}$ consists of all $T' = \bigcup_{s \in 2^\alpha} T^{(s)}$ for $T \in \mathbb{P}_\xi$, successor $\alpha < \kappa$, and $\{T^{(s)} \subseteq T_s \mid s \in T \cap 2^\alpha\} \subseteq \mathbb{P}_\xi$.

$\mathbb{P}_{\xi+1}$ consists of all $T = \bigcap_{\xi < \beta} T_\xi$, for $\beta < \kappa$, and \subseteq -decreasing $\{T_\xi \mid \xi < \beta\} \subseteq \mathbb{P}'_{\xi+1}$.

Clean Levels Lemma: Every tree $T \in \mathbb{P}_{\min}$ has a level α such that for every $t \in T \cap 2^\alpha$, $T_t = (2^{<\kappa})_t$.

The fusion poset $\mathbb{Q}(\mathbb{P})$

Suppose that \mathbb{P} is a κ -perfect poset.

$\mathbb{Q}(\mathbb{P})$: elements are pairs (T, α) , with $T \in \mathbb{P}$ and $\alpha < \kappa$ successor, ordered by $(T, \alpha) \leq (S, \beta)$ if $\alpha \geq \beta$ and $T \cap 2^\alpha = S \cap 2^\alpha$.

Proposition: The poset $\mathbb{Q}(\mathbb{P})$ is $<\kappa$ -closed.

Proposition: Suppose $G \subseteq \mathbb{Q}(\mathbb{P})$ is V -generic. Then in $V[G]$,

$$\mathcal{T} = \bigcup_{(T, \alpha) \in G} T \cap 2^\alpha$$

is a perfect κ -tree and $\mathcal{T} \subseteq T$ for every condition $(T, \alpha) \in G$.

$\mathbb{Q}(\mathbb{P})^{<\kappa}$: bounded support κ -length product of the $\mathbb{Q}(\mathbb{P})$.

Growing κ -perfect posets with generic perfect κ -trees

Set-up

- \mathbb{P} is a κ -perfect poset
- $\mathbb{Q}(\mathbb{P})$ is a fusion poset for \mathbb{P}
- $G \subseteq \mathbb{Q}(\mathbb{P})^{<\kappa}$ is V -generic
- \mathcal{T}_ξ is the generic perfect κ -tree added by the ξ -th slice of G

In $V[G]$

\mathbb{P}^* : close $\{(\mathcal{T}_\xi)_t \mid \xi < \kappa, t \in \mathcal{T}_\xi\} \cup \mathbb{P}$ under $<\kappa$ -intersection property and weak union property.

$$\mathbb{P}_0 = \{(\mathcal{T}_\xi)_t \mid \xi < \kappa, t \in \mathcal{T}_\xi\} \cup \mathbb{P}$$

$$\mathbb{P}_\lambda = \bigcup_{\xi < \lambda} \mathbb{P}_\xi \text{ for limits } \lambda$$

Suppose \mathbb{P}_ξ has been defined.

$\mathbb{P}'_{\xi+1}$ consists of all $T' = \bigcup_{s \in T \cap 2^\alpha} T^{(s)}$ for $T \in \mathbb{P}_\xi$, $\alpha < \kappa$ successor, and $\{T^{(s)} \subseteq T_s \mid s \in T \cap 2^\alpha\} \subseteq \mathbb{P}_\xi$.

$\mathbb{P}_{\xi+1}$ consists of all $T = \bigcap_{\xi < \beta} T_\xi$ for $\beta < \kappa$ and \subseteq -decreasing $\{T_\xi \mid \xi < \beta\} \subseteq \mathbb{P}'_{\xi+1}$.

Growing κ -perfect posets with generic perfect κ -trees (continued)

Clean Levels Lemma: Every tree $T \in \mathbb{P}^*$ has a **level** α such that for every $t \in T \cap 2^\alpha$,

- $T_t = (\mathcal{I}_\xi)_t$ for some $\xi < \kappa$ or
- $T_t \in \mathbb{P}$.

Proposition:

- $\{(\mathcal{I}_\xi)_s \mid \xi < \kappa, s \in \mathcal{I}_\xi\}$ is **dense** in \mathbb{P}^* .
- $\{\mathcal{I}_\xi \mid \xi < \kappa\}$ is a **maximal antichain** of \mathbb{P}^* .
- Every **maximal antichain from V** remains **maximal** in \mathbb{P}^* .

Jensen's forcing at an inaccessible κ : $\mathbb{P}^{\kappa J}$

Work in L and fix a canonical $\diamond_{\kappa^+}(\text{Cof}(\kappa))$ -sequence $\vec{D} = \{D_\xi \mid \xi \in \text{Cof}(\kappa)\}$.

A model M is κ -suitable if:

- $|M| = \kappa$,
- $M^{<\kappa} \subseteq M$,
- $M = L_\alpha$ for some α ,
- $M \models \text{ZFC}^- + \text{P}(\kappa)$ exists.

Observations:

- The Mostowski collapse M of any $X \prec L_{\kappa^{++}}$, with $X^{<\kappa} \subseteq X$ and $|X| = \kappa$, is κ -suitable.
- If M is κ -suitable and $\delta = (\kappa^+)^M$, then $\langle D_\xi \mid \xi < \delta \rangle \in M$.
- If M is κ -suitable and $\mathbb{P} \in M$ is $<\kappa$ -closed, then there is an M -generic filter for \mathbb{P} .

$\mathbb{P}^{\kappa J}$: union of a chain $\mathbb{P}_0 \subseteq \mathbb{P}_1 \subseteq \dots \subseteq \mathbb{P}_\xi \subseteq \dots$ of length κ^+ of κ -perfect posets.

Jensen's forcing at an inaccessible κ : $\mathbb{P}^{\kappa J}$ (continued)

$$\mathbb{P}_0 = \mathbb{P}_{\min}$$

$$\mathbb{P}_\lambda = \bigcup_{\xi < \lambda} \mathbb{P}_\xi \text{ at limits } \lambda \in \text{Cof}(\kappa).$$

Suppose \mathbb{P}_ξ has been defined.

If $\xi \in \text{Cof}(\kappa)$ and D_ξ codes a κ -suitable model M_ξ such that $\mathbb{P}_\xi \in M_\xi$ and $(\kappa^+)^{M_\xi} = \xi$:

- Let G_ξ be the L -least M_ξ -generic filter for $\mathbb{Q}(\mathbb{P}_\xi)^{<\kappa}$.
- $\mathbb{P}_{\xi+1} = \mathbb{P}_\xi^*$ as constructed in $M_\xi[G_\xi]$.

Otherwise, $\mathbb{P}_{\xi+1} = \mathbb{P}_\xi$.

Suppose λ is a limit of cofinality $< \kappa$.

\mathbb{P}_λ : close $\bigcup_{\xi < \lambda} \mathbb{P}_\xi$ under $< \kappa$ -intersection property and weak union property.

Let $\mathcal{T}_\nu^{(\xi)}$ for $\xi < \kappa^+$ and $\nu < \kappa$ be the perfect κ -trees added in $M_\xi[G_\xi]$.

Clean Levels Lemma: Every tree $T \in \mathbb{P}^{\kappa J}$ has a level α such that for every $t \in 2^\alpha \cap T$,

- $T_t = (2^{<\kappa})_t$,
- $T_t = (\mathcal{T}_\nu^{(\xi)})_t$ for some $\xi < \kappa^+$ and $\nu < \kappa$,
- $T_t = \bigcap_{\xi < \alpha} (\mathcal{T}_{\rho_\xi}^{(\mu_\xi)})_t$, with $\alpha < \kappa$, for some \subseteq -decreasing $\{(\mathcal{T}_{\rho_\xi}^{(\mu_\xi)})_t \mid \xi < \alpha\}$.

Sealing Lemma: Every maximal antichain of \mathbb{P}_ξ from M_ξ remains maximal in $\mathbb{P}^{\kappa J}$.

Properties of $\mathbb{P}^{\kappa J}$

Theorem: The forcing $\mathbb{P}^{\kappa J}$

- is $<\kappa$ -closed,
- has the κ^+ -cc,
- adds a unique generic subset of κ over L .

Theorem: Suppose $\mathbb{P}^{\kappa J < \kappa}$ is the bounded support product of \mathbb{P}^J of length κ and $G \subseteq \mathbb{P}^{\kappa J < \kappa}$ is L -generic. Then in $L[G]$, $A \subseteq \kappa$ is L -generic for $\mathbb{P}^{\kappa J}$ if and only if it is added by the α -th slice of G for some $\alpha < \kappa$.

Conjecture: (Work in progress) There is a model of Kelley-Morse set theory with the choice scheme in which the dependent choice scheme fails.

The model should be $V_{\kappa+1}$ of a symmetric submodel of a forcing extension by a tree iteration of $\mathbb{P}^{\kappa J}$.

Jensen's forcing outside of L

A version of Jensen's forcing can be constructed in **any universe with \diamond** .

A version of Jensen's forcing at an inaccessible κ can be constructed in **any universe with $\diamond_{\kappa^+}(\text{Cof}(\kappa))$** .

- lose **low complexity of generics**
- keep **uniqueness properties of generics**