# A set theoretic approach to Scott's Problem

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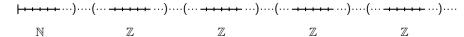
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#### Models of Peano Arithmetic

- The first-order language of arithmetic is  $\mathcal{L}_A = \langle +, \cdot, <, 0, 1 \rangle$ .
- Peano Arithmetic (PA) is the usual axiomatization of number theory.
  - Commutativity and associativity of addition and multiplication, distributive law, ordering is discrete with least element 0, 0 is the additive identity, etc.
  - ▶ Induction scheme: for every  $\mathcal{L}_A$ -formula  $\varphi(x, \vec{y})$ ,

$$\forall \vec{y} [(\varphi(0, \vec{y}) \land (\forall x \varphi(x, \vec{y}) \rightarrow \varphi(x+1, \vec{y}))) \rightarrow \forall x \varphi(x, \vec{y})].$$

- The natural numbers  $(\mathbb{N}, +, \cdot, <, 0, 1)$  is the standard model of PA.
- The order-type of a nonstandard model of PA is  $\mathbb{N} + \mathbb{A} \cdot \mathbb{Z}$  for a dense linear order  $\mathbb{A}$  without endpoints.



- Question: If  $M \models PA$  is countable then  $\mathbb{A} = \mathbb{Q}$ . What can  $\mathbb{A}$  be if M is uncountable?
- Tennenbaum's Theorem: (1959) There are no recursive nonstandard models of PA.



### The standard system of a nonstandard model of PA

Suppose  $M \models PA$  is nonstandard.

**Definition**: (Friedman, 1973) The standard system of M, denoted SSy(M), is the collection of subsets of  $\mathbb N$  that arise as intersections of definable subsets of M with  $\mathbb N$ .

$$SSy(M) = \{A \subseteq \mathbb{N} \mid A = \bar{A} \cap \mathbb{N} \text{ for some } \bar{A} \in Def(M)\}.$$

#### Definition:

• An element  $a \in M$  codes a set  $A \subseteq \mathbb{N}$  if

$$A = \{n \in \mathbb{N} \mid M \models \text{ "the } n\text{-th digit in the binary expansion of } a \text{ is } 1"\}.$$

• A set  $A \subseteq \mathbb{N}$  is coded in M if there is  $a \in M$  coding A.

**Proposition**: SSy(M) is the collection of all sets coded in M.

**Corollary**: If  $M \models PA$  is a submodel of  $N \models PA$  and M is an initial segment of N, then SSy(M) = SSy(N).



### The standard system of a nonstandard model of PA (continued)

Standard systems play an important role in the study of nonstandard models.

Here are some examples:

**Proposition**: For every  $n \in \mathbb{N}$ , the  $\sum_{n}$ -theory of a model is in its standard system.

**Theorem**: (Jensen and Ehrenfeucht, Wilmers?, 1970s) Two countable recursively saturated models M and N of  $\mathrm{PA}$  are isomorphic if and only if they have the same theory and the same standard system.

A model is recursively saturated if it realizes all finitely realizable recursive types.

Friedman's Embedding Theorem: (1973) A countable model  $M \models PA$   $\Sigma_n$ -elementarily embeds into another model  $N \models PA$  if and only if N satisfies the  $\Sigma_{n+1}$ -theory of M and  $SSy(M) \subseteq SSy(N)$ .

# Properties of standard systems

Suppose  $M \models PA$  is nonstandard.

- **1.** SSy(M) is a Boolean algebra.
- **2.** SSy(M) is closed under relative recursion:

If  $A \in SSy(M)$  and  $B \leq_T A$ , then  $B \in SSy(M)$ .

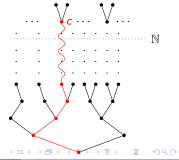
**Proof**: Suppose  $A = \bar{A} \cap \mathbb{N}$  with  $\bar{A} \in \mathrm{Def}(M)$ .

- Let m be the Turing machine computing B from A.
- Define  $\bar{B}$  to be the output of m with oracle  $\bar{A}$ .
- $B = \bar{B} \cap \mathbb{N}$ .  $\square$
- **3.** SSy(M) has the tree property:

If  $T \in SSy(M)$  is an infinite binary tree, then SSy(M) has some infinite branch of T.

**Proof**: Suppose  $T = \overline{T} \cap \mathbb{N}$  with  $\overline{T} \in \mathrm{Def}(M)$ .

- $\bar{T}$  is a binary tree up to some nonstandard level. (Otherwise, we could define  $\mathbb{N}$ .)
- Let  $c \in \overline{T}$  be a nonstandard node.
- Let  $\bar{B}$  be the predecessors of c in  $\bar{T}$ .
- $B = \overline{B} \cap \mathbb{N}$  is an infinite branch of T.  $\square$



#### Scott sets and Scott's Problem

**Definition**: (Scott, 1962) A Scott set is a nonempty Boolean algebra of subsets of  $\mathbb{N}$  that is closed under relative recursion and satisfies the tree property.

**Aside**: Scott sets are the  $\omega$ -models of the second-order arithmetic theory WKL<sub>0</sub>.

Suppose  ${\mathscr X}$  is a Scott set.

- Every recursive set is in  $\mathscr{X}$ .
- ullet Every consistent theory  $S\in\mathscr{X}$  has a consistent completion  $ar{S}\in\mathscr{X}$ . (Use the tree property.)

We just argued that every standard system is a Scott set.

Scott's Problem: Is every Scott set the standard system of some model of PA?

#### Scott's Problem for countable Scott sets

**Theorem**: (Scott, 1962) Suppose  $\mathscr{X}$  is a countable Scott set and  $S \in \mathscr{X}$  is a consistent theory extending PA. Then there is a model  $M \models S$  such that  $SSy(M) = \mathscr{X}$ .

**Proof**: We carry out the Henkin construction "inside  $\mathscr{Z}$ ". Enumerate  $\mathscr{X} = \{A_n \mid n < \omega\}$ .

### Stage 0:

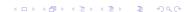
Let  $\mathcal{L}_0$  be  $\mathcal{L}_A$  together with

- a new constant a<sub>0</sub>,
- Henkin constants  $\{c_i^0 \mid i < \omega\}$ .

Let  $T_0'$  be S together with

- sentences  $\{\varphi_i \mid i \in \mathbb{N}\}$  expressing that  $a_0$  codes  $A_0$ : if  $i \in A_0$ ,  $\varphi_i$  says "The i-th digit in the binary expansion of  $a_0$  is 1", if  $i \notin A_0$ ,  $\varphi_i$  says "The i-th digit in the binary expansion of  $a_0$  is 0",
- Henkin sentences for formulas in  $\mathcal{L}_A$ .

 $T_0' \in \mathscr{X}$  because it is recursive in S,  $A_0$ . Let  $T_0 \in \mathscr{X}$  be some consistent completion of  $T_0'$ .



# Scott's Problem for countable Scott sets (continued)

### Stage n:

Let  $\mathcal{L}_n$  be  $\mathcal{L}_{n-1}$  together with

- a new constant a<sub>n</sub>,
- Henkin constants  $\{c_i^n \mid i < \omega\}$ .

Let  $T'_n$  be  $T_{n-1}$  together with

- sentences  $\{\varphi_i \mid i \in \mathbb{N}\}$  expressing that  $a_n$  codes  $A_n$ .
- Henkin sentences for formulas in  $\mathcal{L}_{n-1}$ .

 $T'_n \in \mathscr{X}$  because it is recursive in  $T_{n-1}$ ,  $A_n$ .

Let  $T_n \in \mathscr{X}$  be some consistent completion of  $T'_n$ .

#### The union:

 $T:=\bigcup_{n<\omega}T_n$  is a complete consistent Henkin theory.

The Henkin model  $M \models T$  has  $SSy(M) = \mathcal{X}$ :

- $a_n$  codes  $A_n$ .
- If  $c_i^n$  codes A, then  $A \in \mathcal{X}$  because A is recursive in the complete theory  $T_n$ .  $\square$



### Scott's Problem for Scott sets of size $\omega_1$

**Ehrenfeucht's Lemma**: (1970s) Suppose M is a countable model of  $\operatorname{PA}$  and  $\mathscr X$  is a Scott set such that  $\operatorname{SSy}(M) \subseteq \mathscr X$ . For every  $A \in \mathscr X$ , there is an elementary extension N of M such that  $A \in \operatorname{SSy}(N) \subseteq \mathscr X$ .

#### Proof:

- Choose a countable Scott set  $\mathscr{Y} \subseteq \mathscr{X}$  with  $SSy(M) \cup \{A\} \subseteq \mathscr{Y}$ .
- The  $\Sigma_1$ -theory of M,  $\operatorname{Th}_{\Sigma_1}(M) \in \operatorname{SSy}(M) \subseteq \mathscr{Y}$ .
- By Scott's Theorem, there is a countable  $K \models \mathrm{PA} + \mathrm{Th}_{\Sigma_1}(M)$  such that  $\mathrm{SSy}(K) = \mathscr{Y}$ .
- By Friedman's Embedding Theorem, we can assume  $M \prec_{\Delta_0} K$ .
- Let N be the closure under initial segment of M in K.



- Since  $M \prec_{\Delta_0} N$  and M is cofinal in N, it follows that  $M \prec N$ .
- $SSy(N) = SSy(K) = \mathcal{Y}$  since N is an initial segment of K.  $\square$



# Scott's Problem for Scott sets of size $\omega_1$ (continued)

**Theorem**: (Knight, Nadel, 1982) Every Scott set of size  $\omega_1$  is the standard system of some model of PA.

**Proof**: Let  $\mathscr{X}$  be a Scott set of size  $\omega_1$ .

- Enumerate  $\mathscr{X} = \{A_{\xi} \mid \xi < \omega_1\}.$
- Choose a countable Scott set  $\mathscr{X}_0 \subseteq \mathscr{X}$ .
- By Scott's Theorem, there is  $M_0 \models PA$  with  $SSy(M_0) = \mathscr{X}_0$ .
- By Ehrenfeucht's Lemma, given  $M_{\xi} \models \mathrm{PA}$ , let  $M_{\xi} \prec M_{\xi+1}$  with  $A_{\xi} \in \mathrm{SSy}(M_{\xi+1}) \subseteq \mathscr{X}$ .
- For limit ordinals  $\lambda$ , let  $M_{\lambda} = \bigcup_{\xi < \lambda} M_{\xi}$ .
- In  $\omega_1$ -steps, we obtain a continuous elementary chain:

$$M_0 \prec M_1 \prec \cdots \prec M_{\xi} \prec \cdots \prec M$$

• The model  $M = \bigcup_{\xi < \omega_1} M_{\xi}$  has  $SSy(M) = \mathscr{X}$ .

**Corollary**: Assuming CH, every Scott set is the standard system of some model of PA. Thus, it is consistent that Scott's Problem has a positive answer.

### **Open Questions**

Question: What is the solution to Scott's Problem if CH fails?

Question: Does Ehrenfeucht's Lemma hold for uncountable models?

#### Incremental strategy:

- Assume  $2^{\omega} = \omega_2$  and some additional set theoretic hypothesis.
- Make additional requirements on a Scott set  $\mathscr X$  so that Ehrenfeucht's Lemma holds for models  $M \models \operatorname{PA}$  of size  $\omega_1$  with  $\operatorname{SSy}(M) \subseteq \mathscr X$ .

**Definition**: A family  $\mathscr{X} \subseteq \mathcal{P}(\mathbb{N})$  is called arithmetically closed (AC) if it is a nonempty Boolean algebra that is closed under the Turing jump operation.

**Aside**: Arithmetically closed families are the  $\omega$ -models of the second-order arithmetic theory  $ACA_0$ .

**Question**: Is every AC family of size  $\omega_2$  the standard system of some model of PA?



## An ultrapower construction

### Set-up

- $M \models PA$
- $\mathscr{X}$  is a Scott set with  $SSy(M) \subseteq \mathscr{X}$ .
- U is an ultrafilter on  $\mathscr{X}$ .

### Ultrapower construction (Kirby, Paris, 1977)

- Let  $\Pi_{\mathbb{N}}^{\operatorname{Cod}} M = \{ f : \mathbb{N} \to M \mid f = F \upharpoonright \mathbb{N} \text{ for some } F \in \operatorname{Def}(M) \}.$
- ullet Define an equivalence relation  $\sim_{\it U}$  on  $\Pi^{
  m Cod}_{\Bbb N} M$  by

$$f \sim_U g \leftrightarrow \{n \in \mathbb{N} \mid f(n) = g(n)\} \in U.$$

- Let  $\Pi_N^{\text{Cod}} M/U$  be the collection of equivalence classes.
- ullet  $\Pi_{\mathbb{N}}^{\operatorname{Cod}} M/U$  gets the usual ultrapower  $\mathcal{L}_A$ -structure, e.g.,

$$[f]_U + [g]_U = [h]_U \leftrightarrow \{n \in \mathbb{N} \mid M \models f(n) + g(n) = h(n)\} \in U.$$

- M embeds into  $\Pi_{\mathbb{N}}^{\mathrm{Cod}}M/U$  via the map sending a to  $[c_a]_U$ , where  $c_a$  is the constant function with value a.
- Łoś Theorem holds:

$$\Pi_{\mathbb{N}}^{\text{Cod}} M/U \models \varphi([f]_U) \leftrightarrow \{n \in \mathbb{N} \mid M \models \varphi(f(n))\} \in U.$$

 $\bullet \ |\Pi_{\mathbb{N}}^{\mathrm{Cod}} M/U| = |M|.$ 



## A forcing notion from a Scott set

Suppose A, B are infinite subsets of  $\mathbb{N}$ . We say that A is almost contained in B,  $A \subseteq^* B$ , if there is  $n \in \mathbb{N}$  such that  $A \setminus n \subseteq B$ .

**Definition**: Suppose  $\mathscr{X}$  is a Scott set. Let  $\mathscr{X}/\mathrm{fin}$  be the partial order whose elements are infinite sets in  $\mathscr{X}$  ordered by  $\subseteq^*$ .

**Example**:  $\mathcal{P}(\omega)/\text{fin}$  has been extensively studied.

#### Decisive sets

**Definition**: Suppose  $\mathscr X$  is a Scott set and  $\vec B = \langle B_n \mid n \in \mathbb N \rangle$  is coded in  $\mathscr X$ . A set  $C \in \mathscr X$  decides  $\vec B$  if whenever U is an ultrafilter on  $\mathscr X$  with  $C \in U$ , then  $\{n \mid B_n \in U\} \in \mathscr X$ .

**Observation**: Suppose  $\mathscr X$  is an AC family and  $\vec B$  is a sequence coded in  $\mathscr X$ .

- If  $C \in \mathscr{X}$  has  $C \subseteq^* B_n$  or  $C \subseteq^* \mathbb{N} \setminus B_n$  for all  $n \in \mathbb{N}$ , then C decides  $\vec{B}$ .
- There is  $C \in \mathscr{X}$  such that  $C \subseteq^* B_n$  or  $C \subseteq^* \mathbb{N} \setminus B_n$  for all  $n \in \mathbb{N}$ .

**Corollary**: If  $\mathscr X$  is an AC family, then for every sequence  $\vec{B}$  coded in  $\mathscr X$ , there is  $C \in \mathscr X$  deciding  $\vec{B}$ .

**Lemma** (G., 2007) TFAE for a Scott set  $\mathscr{X}$ .

- 1.  $\mathscr X$  is arithmetically closed.
- 2. For every sequence  $\vec{B}$  coded in  $\mathscr{X}$ , there is  $C \in \mathscr{X}/\text{fin deciding }\vec{B}$ .
- 3. For every sequence  $\vec{B}$  coded in  $\mathscr{X}$ , the set  $\mathscr{D}_{\vec{B}}$  of C deciding  $\vec{B}$  is dense in  $\mathscr{X}/\text{fin}$ .



# A forcing notion from a Scott set (continued)

**Lemma**: (G., 2007) Suppose  $M \models PA$ ,  $\mathscr{X}$  is an AC family with  $SSy(M) \subseteq \mathscr{X}$ , and U is an ultrafilter on  $\mathscr{X}$ .

- For every  $[f]_U \in \Pi_{\mathbb{N}}^{\operatorname{Cod}} M/U$ , there is a sequence  $\vec{B}^f$  coded in  $\mathscr{X}$  such that if there is  $C \in U$  deciding  $\vec{B}^f$ , then the set coded by  $[f]_U$  is in  $\mathscr{X}$ .
- For every  $A \in \mathcal{X}$ , there is  $B^A \in \mathcal{X}/\mathrm{fin}$  such that if  $B^A \in U$ , then  $A \in \mathrm{SSy}(\Pi^{\mathrm{Cod}}_{\mathbb{N}} M/U)$ .

**Theorem**: (G., 2007) Suppose

- $M \models PA$ ,
- $\mathscr{X}$  is an AC family with  $SSy(M) \subseteq \mathscr{X}$ ,
- G is a filter on  $\mathscr{X}/\mathrm{fin}$  meeting all dense sets  $\mathcal{D}_{\vec{B}^f}$  for  $f \in \Pi_{\mathbb{N}}^{\mathrm{Cod}}M$ .

Then the ultrafilter U on  $\mathscr X$  determined by G has the following properties:

- $SSy(\Pi_{\mathbb{N}}^{Cod}M/U)\subseteq \mathscr{X}$ .
- For every  $A \in \mathscr{X}$ , there is a  $B_A \in \mathscr{X}/\mathrm{fin}$  such that if  $B_A \in G$ , then  $A \in \Pi_{\mathbb{N}}^{\mathrm{Cod}} M/U$ .



## Forcing axioms

**Question**: How we do obtain such a partially generic filter *G*?

A forcing axiom asserts for a class  $\mathcal C$  of partial orders and a cardinal  $\kappa$  that for every partial order  $\mathbb P\in\mathcal C$  and every collection  $\mathcal D$  of  $\kappa$ -many dense sets of  $\mathbb P$  there is a filter on  $\mathbb P$  meeting every set in  $\mathcal D$ .

#### Here are two examples:

- Martin's Axiom (MA): for every ccc partial order  $\mathbb P$  and every collection  $\mathcal D$  of  $\kappa < 2^\omega$  many dense sets of  $\mathbb P$ , there a filter on  $\mathbb P$  meeting every set in  $\mathcal D$ .
- Proper Forcing Axiom (PFA): for every proper partial order  $\mathbb{P}$  and every collection  $\mathcal{D}$  of  $\omega_1$ -many dense sets of  $\mathbb{P}$ , there is a filter on  $\mathbb{P}$  meeting every set in  $\mathcal{D}$ .

### Forcing axioms and Ehrenfeucht's Lemma

#### Theorem:

- Assuming MA, Ehrenfeucht's Lemma holds for every AC family  $\mathscr X$  such that  $\mathscr X/\mathrm{fin}$  is ccc and model  $M \models \mathrm{PA}$  of size  $\kappa < 2^\omega$  with  $\mathrm{SSy}(M) \subseteq \mathscr X$ .
- Assuming PFA, Ehrenfeucht's Lemma holds for every AC family  $\mathscr X$  such that  $\mathscr X/\mathrm{fin}$  is proper and model  $M \models \mathrm{PA}$  of size  $\omega_1$  with  $\mathrm{SSy}(M) \subseteq \mathscr X$ .

**Theorem**: (G., 2007) Assuming PFA, every AC family  $\mathscr X$  of size  $\omega_2$  such that  $\mathscr X/\mathrm{fin}$  is proper is the standard system of some model of PA.

**Definition**: Suppose  $\mathscr X$  is an AC family of size  $\omega_2$ . We say that  $\mathscr X/\mathrm{fin}$  is piecewise proper if  $\mathscr X$  is a chain

$$\mathscr{X}_0 \subseteq \mathscr{X}_1 \subseteq \cdots \subseteq \mathscr{X}_{\xi} \subseteq \cdots \subseteq \mathscr{X}$$

of AC families  $\mathscr{X}_{\xi}$  of size  $\omega_1$  for  $\xi < \omega_2$  such that each  $\mathscr{X}_{\xi}/\text{fin}$  is proper.

**Theorem** (G., 2007) Assuming PFA, every AC family  $\mathscr{X}$  of size  $\omega_2$  such that  $\mathscr{X}/\mathrm{fin}$  is piecewise proper is the standard system of some model of PA.



When is  $\mathscr{X}/\text{fin ccc}$ ?

**Lemma**: If  $\mathscr X$  is an uncountable Scott set, then  $\mathscr X/\mathrm{fin}$  has uncountable antichains. Therefore every Scott set  $\mathscr X$  such that  $\mathscr X/\mathrm{fin}$  has the ccc is countable.

MA can't help in this approach to establish new instances of Ehrenfeucht's Lemma.

Question: What about PFA?

## When is $\mathscr{X}/\text{fin proper?}$

Proper partial orders generalize ccc partial orders.

**Theorem**: (Todorčević, Veličković, 1992) Assuming PFA,  $2^{\omega} = \omega_2$ .

**Observation**: Suppose  $\mathscr X$  is an AC family such that for every countable AC family  $\mathscr Y\subseteq\mathscr X$  and countable collection  $\mathcal D$  of dense sets of  $\mathscr Y$ , there is  $A\in\mathscr X$  such that for every  $D\in\mathcal D$ , there is  $B\in D$  with  $A\subseteq^*B$ . Then  $\mathscr X/\mathrm{fin}$  is proper.

Theorem: (G., 2007) It is consistent that

- There are continuum many AC families  $\mathscr X$  of size  $\omega_1$  such that  $\mathscr X/\mathrm{fin}$  is proper.
- There are continuum many AC families  $\mathscr X$  of size  $\omega_2$  such that  $\mathscr X/\mathrm{fin}$  is piecewise proper.

**Proof**: An AC family  $\mathscr X$  of size  $\omega_1$  such that  $\mathscr X/\mathrm{fin}$  is proper can be added by a finite support iteration  $\mathbb P=\{\mathbb P_\xi\}_{\xi<\omega_1}$  of length  $\omega_1$ .

- Stage 0:
  - ▶ Let  $\mathscr{X}_0$  be any countable AC family.
  - ▶ Force with  $\mathcal{X}_0/\text{fin}$ .
- Stage 1:
  - ▶ Let  $g_0 \subseteq \mathcal{X}_0/\text{fin} = \mathbb{P}_0$  be generic.
  - ▶ In  $V[g_0]$ , choose an  $A_0 \subseteq^* A$  for all  $A \in g_0$ .
  - ▶ Let  $\mathcal{X}_1$  be the arithmetic closure of  $\mathcal{X}_0$  and  $A_0$ .
  - ▶ Force with  $\mathcal{X}_1/\text{fin}$ .

# When is $\mathscr{X}/\text{fin}$ proper (continued)

### **Proof**: (continued)

- Stage  $\xi + 1$ :
  - ▶ Let  $G_{\mathcal{E}} * g_{\mathcal{E}} \subseteq \mathbb{P}_{\mathcal{E}+1}$  be generic.
  - $\mathscr{X}_0 \subseteq \mathscr{X}_1 \subseteq \cdots \subseteq \mathscr{X}_{\gamma} \subseteq \cdots \subseteq \mathscr{X}_{\varepsilon}$  are already constructed.
  - ▶ In  $V[G_{\mathcal{E}}][g_{\mathcal{E}}]$ , choose an  $A_{\mathcal{E}} \subseteq^* A$  for all  $A \in g_{\mathcal{E}}$ .
  - ▶ Let  $\mathscr{X}_{\mathcal{E}+1}$  be the arithmetic closure of  $\mathscr{X}_{\mathcal{E}}$  and  $A_{\mathcal{E}}$ .
  - ► Force with  $\mathcal{X}_{\gamma}/\text{fin}$  for some chosen\*  $\gamma < \xi + 1$ .
- Stage  $\lambda$ : (limit)
  - ▶ Let  $G_{\lambda} \subseteq \mathbb{P}_{\lambda}$  be generic.
  - $\mathscr{X}_{\gamma}$  for  $\gamma < \lambda$  are already constructed.
  - ▶ Let  $\mathscr{X}_{\lambda} = \bigcup_{\gamma < \lambda} \mathscr{X}_{\gamma}$ .
  - ▶ Force with  $\mathcal{X}_{\gamma}/\text{fin}$  for some chosen\*  $\gamma < \lambda$ .
- \* We force over each  $\mathscr{X}_{\gamma}/\text{fin}$  cofinally often because we keep adding new dense sets.
- Let  $G \subseteq \mathbb{P}$  be generic.
- In V[G], let  $\mathscr{X} = \bigcup_{\xi < \omega_1} \mathscr{X}_{\xi}$ .
- $\mathscr{X}/\text{fin}$  is proper in V[G].  $\square$

**Theorem**: (Enayat, 2008) There is an AC family  $\mathscr{X}$  of size  $\omega_1$  such that  $\mathscr{X}/\text{fin}$  is not proper.

**Question**: In a model of PFA, are there AC families  $\mathscr{X}$  of size  $\omega_2$  such that  $\mathscr{X}/\text{fin}$  is proper or piecewise proper?

## Promising construction

**A promising construction**: Assuming  $2^{\omega}=\omega_2$ , build an AC family  $\mathscr X$  in  $\omega_2$ -steps such that  $\mathscr X/\mathrm{fin}$  is proper.

- Fix some enumeration  $\{\langle \mathscr{Y}_{\xi}, \mathcal{D}_{\xi} \rangle \mid \xi < \omega_2 \}$  of  $\mathcal{P}(\mathbb{N}) \times \mathcal{P}(\mathbb{N})$ .
- Stage 0: Let  $\mathscr{X}_0$  be any countable AC family.
  - ► Consider  $\langle \mathscr{Y}_0, \mathcal{D}_0 \rangle$ .
  - ▶ Suppose  $\mathscr{Y}_0$  codes an AC family  $\mathscr{Y} \subseteq \mathscr{X}_0$  and  $\mathcal{D}_0$  codes a collection  $\mathcal{D}$  of dense subsets of  $\mathscr{Y}$
  - ▶ Cleverly choose some partial generic filter  $G_0 \subseteq \mathcal{Y}/\text{fin}$  meeting all sets in  $\mathcal{D}$ .
  - ▶ Let  $A_0 \subseteq^* A$  for all  $A \in G_0$ .
  - ▶ Let  $\mathcal{X}_1$  be the arithmetic closure of  $\mathcal{X}_0$  and  $A_0$ .
- Stage  $\xi$ :  $\mathscr{X}_0 \subseteq \mathscr{X}_1 \subseteq \cdots \subseteq \mathscr{X}_{\gamma} \subseteq \cdots \subseteq \mathscr{X}_{\xi}$  are already constructed.
  - ► Consider  $\langle \mathscr{Y}_{\gamma}, \mathcal{D}_{\gamma} \rangle$  for some chosen\*  $\gamma \leq \xi$ .
  - ▶ Suppose  $\mathscr{Y}_{\gamma}$  codes an AC family  $\mathscr{Y} \subseteq \mathscr{X}_{\xi}$  and  $\mathcal{D}_{\gamma}$  codes a collection  $\mathcal{D}$  of dense subsets of  $\mathscr{Y}$ .
  - ▶ Cleverly choose some partial generic filter  $G_{\varepsilon} \subseteq \mathscr{Y}/\text{fin}$  meeting all sets in  $\mathcal{D}$ .
  - ▶ Let  $A_{\xi} \subseteq^* A$  for all  $A \in G_{\xi}$ .
  - ▶ Let  $\mathscr{X}_{\xi+1}$  be the arithmetic closure of  $\mathscr{X}_{\xi}$  and  $A_{\xi}$ .
- \* Consider each  $\langle \mathscr{Y}_{\gamma}, \mathcal{D}_{\gamma} \rangle$  cofinally often.
- $\mathscr{X} = \bigcup_{\xi < \omega_2} \mathscr{X}_{\xi}$  is proper.

Why do we have to be clever in choosing the partial generic filters  $G_{\varepsilon}$ ?

## Promising construction (continued)

How can we be clever?

- At the start of the construction fix some  $C \notin \mathscr{X}_0$ .
- Choose  $G_{\xi}$  so that the arithmetic closure of  $\mathscr{X}_{\xi}$  and  $A_{\xi}$  does not contain C.

**Question**: Suppose  $\mathscr X$  is a countable AC family and  $C \notin \mathscr X$ . Is there a (partial) generic  $G \subseteq \mathscr X/\mathrm{fin}$  and  $A \in V[G]$  such that

- $A \subseteq^* B$  for all  $B \in G$ ,
- the arithmetic closure of  $\mathscr{X}$  and A avoids C?

### Lower semicontinuous submeasures

**Definition**: A submeasure is a function  $\mu: \mathcal{P}(\mathbb{N}) \to [0, \infty]$  such that

- $\bullet \ \mu(\emptyset) = 0,$
- $\mu(X) \le \mu(X \cup Y) \le \mu(X) + \mu(Y)$  for all  $X, Y \subseteq \mathbb{N}$ .

**Definition**: A submeasure is lower semicontinuous (LS) if for all  $A \subseteq \mathbb{N}$ ,

$$\mu(A) = \lim_{n \to \infty} \mu(A \cap n).$$

**Definition**: A code for a LS submeasure is a function  $\mu: \mathcal{P}_{<\infty}(\mathbb{N}) \to [0,\infty]$  such that

- $\bullet \ \mu(\emptyset) = 0,$
- $\mu(x) \le \mu(x \cup y) \le \mu(x) + \mu(y)$  for all  $x, y \in \mathcal{P}_{<\infty}(\mathbb{N})$ .

#### Examples:

- Fix  $n \in \mathbb{N}$ . Let  $\mu(X) = n$  (the constant submeasure n).
- Fix  $A \subseteq \mathbb{N}$ . Let  $\chi_A(X) = |A \cap X|$  (counting submeasure).
- Let  $\mu(x) = n$ , where n is the largest element of  $x \in \mathcal{P}_{<\infty}(\mathbb{N})$ .
- Fix  $A \subseteq \mathbb{N}$ . Let  $\mu(X) = \sum_{i=0}^k \frac{1}{i!}$ , where  $k = |A \cap X|$ .
- Let  $x = \{a_1, a_2, \dots, a_n\}$  be an increasing enumeration. Let  $\mu(x) = \sum_{i=1}^n b_i$ , where  $b_i = a_i + 1$  if i is even and  $b_i = a_i 1$  if i is odd.

## Lower semicontinuous submeasures (continued)

LS submeasures form a lattice.

**Definition**: Suppose  $\mu$  and  $\nu$  are LS submeasures.

- $\mu \leq \nu$  if  $\mu(X) \leq \nu(X)$  for all  $X \subseteq \mathbb{N}$ .
- $\mu \lor \nu(X) = \max(\mu(X), \nu(X))$  (sumpremum).
- $\mu \wedge \nu(X) = \min\{\mu(Y) + \nu(Z) \mid Y \cup Z = X\}$  (infimum).

Say that a LS submeasure  $\mu$  is good if  $\mu(\mathbb{N}) = \infty$  and  $\mu \leq \chi_{\mathbb{N}}$ .

**Definition**: Suppose  $\mu, \nu$  are good LS submeasures. We say that  $\mu \leq^* \nu$  if there is  $n \in \mathbb{N}$  such that  $\mu \leq \nu \vee n$  ( $\mu(x) \leq \max(\nu(x), n)$ ).

**Definition**: Suppose  $\mathscr X$  is a Scott set. Let  $\mathbb P_\mathscr X$  be the partial order whose elements are good LS submeasures  $\mu$  in  $\mathscr X$  ordered by  $\leq^*$ .



#### Decisive measures

**Definition**: Suppose  $\mathscr X$  is a Scott set and  $\vec B=\langle B_n\mid n\in\mathbb N\rangle$  is coded in  $\mathscr X$ . A submeasure  $\mu\in\mathbb P_\mathscr X$  decides  $\vec B$  if whenever U is an ultrafilter on  $\mathscr X$  of  $\mu$ -infinite sets, then  $\{n\mid B_n\in U\}\in\mathscr X$ .

**Observation**: Suppose  $\mathscr{X}$  is an AC family and  $\vec{B}$  is a sequence coded in  $\mathscr{X}$ .

- If  $\mu \in \mathbb{P}_{\mathscr{X}}$  has  $\mu(B_n) < \infty$  or  $\mu(\mathbb{N} \setminus B_n) < \infty$  for all  $n \in \mathbb{N}$ , then  $\mu$  decides  $\vec{B}$ .
- There is  $\mu \in \mathbb{P}_{\mathscr{X}}$  such that  $\mu(B_n) < \infty$  or  $\mu(\mathbb{N} \setminus B_n) < \infty$  for all  $n \in \mathbb{N}$ .

**Corollary**: If  $\mathscr X$  is an AC family, then for every sequence  $\vec{B}$  coded in  $\mathscr X$ , there is  $\mu \in \mathbb P_\mathscr X$  deciding  $\vec{B}$ .

**Lemma** (Dorais, G.) TFAE for a Scott set  $\mathscr{X}$ .

- 1.  $\mathscr X$  is arithmetically closed.
- 2. For every sequence  $\vec{B}$  coded in  $\mathscr{X}$ , there is  $\mu \in \mathbb{P}_{\mathscr{X}}$  deciding  $\vec{B}$ .
- 3. For every sequence  $\vec{B}$  coded in  $\mathscr{X}$ , the set  $\mathscr{D}_{\vec{B}}$  of  $\mu \in \mathbb{P}_{\mathscr{X}}$  deciding  $\vec{B}$  is dense in  $\mathbb{P}_{\mathscr{X}}$ .



## Another forcing notion from a Scott set

**Lemma** (Dorais, G.) TFAE for a Scott set  $\mathscr{X}$ .

- 1.  $\mathscr X$  is arithmetically closed.
- 2. For every sequence  $\vec{B}$  coded in  $\mathscr{X}$ , there is  $\mu \in \mathbb{P}_{\mathscr{X}}$  deciding  $\vec{B}$ .
- 3. For every sequence  $\vec{B}$  coded in  $\mathscr{X}$ , the set  $\mathscr{D}_{\vec{B}}$  of  $\mu \in \mathbb{P}_{\mathscr{X}}$  deciding  $\vec{B}$  is dense in  $\mathbb{P}_{\mathscr{X}}$ .

**Lemma**: (Dorais, G.) Suppose  $M \models PA$ ,  $\mathscr{X}$  is an AC family with  $SSy(M) \subseteq \mathscr{X}$ , and U is an ultrafilter on  $\mathscr{X}$ .

- For every  $[f]_U \in \Pi_{\mathbb{N}}^{\operatorname{Cod}} M/U$ , there is a sequence  $\vec{B}^f$  coded in  $\mathscr{X}$  such that if there is  $\mu \in \mathbb{P}_{\mathscr{X}}$  deciding  $\vec{B}^f$  and U consists of  $\mu$ -infinite sets, then the set coded by  $[f]_U$  is in  $\mathscr{X}$ .
- For every  $A \in \mathcal{X}$ , there is  $\mu \in \mathbb{P}_{\mathscr{X}}$  such that if U consists of  $\mu$ -infinite sets, then  $A \in \mathrm{SSy}(\Pi^{\mathrm{Cod}}_{\mathbb{N}}M/U)$ .

# Another forcing notion from a Scott set (continued)

Suppose  $\mathscr X$  is an AC family. If G is a filter on  $\mathbb P_{\mathscr X}$ , then there is an ultrafilter U on  $\mathscr X$  whose elements are  $\mu$ -infinite for every  $\mu \in G$ . We will say that such U is G-good.

Theorem: (Dorais, G., 2013) Suppose

- $M \models PA$ ,
- $\mathscr{X}$  is an AC family with  $SSy(M) \subseteq \mathscr{X}$ ,
- G is a filter on  $\mathbb{P}_{\mathscr{X}}$  meeting all dense sets  $\mathcal{D}_{\vec{B}^f}$  for  $f \in \Pi_{\mathbb{N}}^{\text{Cod}} M$ .

Then any G-good ultrafilter U has the following properties:

- $SSy(\Pi_{\mathbb{N}}^{Cod}M/U)\subseteq \mathcal{X}$ .
- For every  $A \in \mathscr{X}$ , there is a  $\mu \in \mathbb{P}_{\mathscr{X}}$  such that if  $\mu \in G$ , then  $A \in \Pi_{\mathbb{N}}^{\text{Cod}} M/U$ .

**Theorem**: (Dorais, 2013) Suppose  $\mathscr X$  is a countable AC family,  $\mathcal D$  is a countable family of dense subsets of  $\mathbb P_\mathscr X$  and  $C\notin \mathscr X$ . Then there is a filter G on  $\mathbb P_\mathscr X$  meeting every set in  $\mathcal D$  and a good LS submeasure  $\mu\leq^*A$  for all  $A\in G$  such that the arithmetic closure of  $\mathscr X$  and  $\mu$  does not contain C.

**Theorem**: (Dorais, G., 2013) Assuming CH, there are continuum many AC families  $\mathscr{X}$  of size  $\omega_1$  such that  $\mathbb{P}_{\mathscr{X}}$  is proper.

Thank you!



**Observation**: Suppose  $\mu_0 \stackrel{*}{\geq} \mu_1 \stackrel{*}{\geq} \cdots \stackrel{*}{\geq} \mu_n \stackrel{*}{\geq} \cdots$  is a decreasing sequence of good LS submeasures. Then there is a good LS submeasure  $\mu$  such that  $\mu \leq^* \mu_n$  for all  $n \in \mathbb{N}$ .

**Definition**: A LS submeasure  $\mu$  decides a sequence  $\langle B_n \mid n \in \mathbb{N} \rangle$  if  $\mu(B_n) < \infty$  or  $\mu(\mathbb{N} \setminus B_n) < \infty$  for all  $n \in \mathbb{N}$ .

**Observation**: For every sequence  $\vec{B} = \langle B_n \mid n \in \mathbb{N} \rangle$ , there is a good LS submeasure  $\mu$  deciding  $\vec{B}$ .

