## Upward Löwenheim-Skolem-Tarski numbers for abstract logics

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This is joint work with Jonathan Osinski.

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## First-order logic

Modern mathematics is formalized by means of first-order logic. But what is a logic and what is so special about first-order logic?

## Logic

- Assigns a collection of formulas to every language.
- Assigns truth values to formulas for every model.

#### First-order logic $\mathbb{L}_{\omega,\omega}$

- Formulas: close atomic formulas under conjunctions, disjunctions, negations, quantifiers.
- Truth: Tarski's recursive definition.
- Properties:
  - A language has set-many formulas.
  - A formula can mention finitely much of a language.
  - Compactness: every finitely satisfiable theory has a model.
- But first-order logic does not exist outside of mathematics.
- A (fragment of a) set-theoretic background is necessary to interpret first-order logic.
  - natural numbers
  - recursion

Stronger logics require access to more of the set-theoretic background.  $E \rightarrow A \equiv A$ 

## Infinitary logics

Add transfinite conjunctions, disjunctions, and quantifier blocks of formulas.

Suppose  $\gamma \leq \delta$  are regular cardinals.

Infinitary logics  $\mathbb{L}_{\delta,\gamma}$ 

Close formulas under conjunctions and disjunctions of length  $<\!\!\delta$  and quantifier blocks of length  $<\!\!\gamma.$ 

- A language has set-many formulas.
- A formula can mention  $<\delta$ -much of a language.

#### Examples

•  $\mathbb{L}_{\omega_1,\omega}$ 

There is a sentence expressing that the natural numbers are standard:

$$\forall n \in \omega [n = 0 \lor n = 1 \lor n = 2 \lor \cdots]$$

#### Compactness fails.

•  $\mathbb{L}_{\delta,\omega}$ 

For every ordinal ξ < δ and formula ψ(y, x), there is a formula φ<sup>ξ</sup><sub>ψ</sub>(x) expressing that ({y | ψ(y, x)}, ψ) ≅ (ξ, ∈).

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## Infinitary logics (continued)

#### Examples (continued)

•  $\mathbb{L}_{\omega_1,\omega_1}$ 

For every formula  $\psi(x, y)$  there is a sentence  $\varphi_{\psi}^{WF}$  expressing that the relation given by  $\psi$  is well-founded:

 $\neg \exists x_0, x_1, \ldots, x_n, \ldots \ [\psi(x_1, x_0) \land \psi(x_2, x_1) \land \cdots \land \psi(x_{n+1}, x_n) \land \cdots]$ 

For every formula  $\psi(x)$  there is a sentence  $\varphi_{\psi}^{\text{lnf}}$  expressing that  $\{x \mid \psi(x)\}$  is infinite:

$$\exists x_0, x_1, \ldots, x_n \ldots \bigwedge_{n, m < \omega} x_n \neq x_m$$

•  $\mathbb{L}_{\omega_2,\omega_2}$ 

For every formula  $\psi(x)$  there is a sentence  $\varphi_{\psi}$  expressing that  $\{x \mid \psi(x)\}$  is uncountable:

$$\exists x_0, x_1, \dots, x_{\xi} \dots \bigwedge_{\xi, \eta < \omega_1} x_{\xi} \neq x_{\eta}$$

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# Second-order logic $\mathbb{L}^2$

Add second-order quantifiers ranging over all relations on the model.

#### Expressive power

- The relation given by a formula  $\psi(y, x)$  is well-founded: every subset has a least element.
- $\{x \mid \psi(x)\}$  is infinite: there is a bijection with a proper subset.
- $|\{x \mid \psi(x)\}| = |\{y \mid \varphi(y)\}|$
- (Magidor)  $(\{y \mid \psi(x, y)\}, \psi) \cong (V_{\alpha}, \in)$  for some  $\alpha$ .
- A group *F* is free:
  - Suppose F has cardinality  $\delta$ .
  - ▶ *F* is free if and only if there is a transitive model  $M \models \text{ZFC}^-$  of size  $\delta$  with  $F \in M$  which satisfies that *F* is free.
  - ▶ There is a relation E on F such that (F,E)
    - ★ satisfies ZFC<sup>−</sup>,
    - \* is well-founded,
    - \* has an element isomorphic to F,
    - ★ satisfies that *F* is free.

# Equicardinality logic $\mathbb{L}(I)$

Add a quantifier I such that for all formulas  $\psi(x)$  and  $\varphi(y)$ :

*Ixy*  $\psi(x)\varphi(y)$  whenever  $|\{x \mid \psi(x)\}| = |\{y \mid \varphi(y)\}|$ 

#### Expressive power

• The natural numbers are standard:

 $\forall n \in \omega |\{m \mid m \in n\}| \neq |\{m \mid m \in n+1\}|$ 

•  $|\{x \mid \psi(x)\}|$  is infinite:

 $\exists y \left[ \psi(y) \land |\{x \mid \psi(x)\} \right] = |\{x \mid \psi(x) \land x \neq y\}|]$ 

• A model is cardinal correct: if  $\kappa$  is a cardinal, then for all  $\alpha < \kappa$ 

 $|\xi | \xi < \alpha| \neq |\xi | \xi < \kappa|.$ 

Relationships

•  $\mathbb{L}(I) \subseteq \mathbb{L}^2$ 

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Well-foundeness logic \mathbb{L}(Q^{\text{WF}})
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Add a new quantifier  $Q^{WF}$  such that for all formulas  $\psi(x, y)$ :

 $Q^{\text{WF}}x, y \psi(x, y)$  whenever the relation given by  $\psi(x, y)$  is well-founded.

#### Relationships

- $\mathbb{L}(Q^{\mathrm{WF}}) \subseteq \mathbb{L}_{\omega_1,\omega_1}$ •  $\mathbb{L}(Q^{\mathrm{WF}}) \subset \mathbb{L}^2$
- $\mathbb{L}(Q^{m^2}) \subseteq \mathbb{L}^2$

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#### Sort logics $\mathbb{L}^{s,n}$

#### (Väänänen) L<sup>s,n</sup>

- $\omega$ -many sorts
- second-order quantifiers for every sort (extends  $\mathbb{L}^2$ )
- $\bullet$  Sort quantifiers  $\tilde{\forall}$  and  $\tilde{\exists}$ 
  - search the set-theoretic universe for a new sort such that there is a relation on the combination of the new and old sorts satisfying a given formula.
  - at most *n*-alternations of sort quantifiers are allowed

#### Expressive power

• For every formuala  $\psi(y, x)$  there is a sentence  $\varphi_{\psi}^{n}(x)$  expressing that  $(\{y \mid \psi(y, x)\}, \psi) \cong (V_{\alpha}, \in)$  and  $V_{\alpha} \prec_{\Sigma_{n}} V$  for some  $\alpha$ .

#### Languages

A language  $\tau$  is a quadruple  $(\mathfrak{F}, \mathfrak{R}, \mathfrak{C}, a)$  where:

- $\mathfrak{F}$  are the functions,
- $\mathfrak{R}$  are the relations,
- C are the constants,
- $a: \mathfrak{F} \cup \mathfrak{R} \to \omega$  is the arity function.

A  $\tau$ -structure is a set with interpretations for the functions, relations, and constants in  $\tau$ .

A renaming f between languages  $\tau = (\mathfrak{F}, \mathfrak{R}, \mathfrak{C}, a)$  and  $\sigma = (\mathfrak{F}', \mathfrak{R}', \mathfrak{C}', a')$  is an arity-preserving bijection between the functions, relations, and constants.

Given a renaming f, let  $f^*$  be the associated bijection between  $\tau$ -structures and  $\sigma$ -structures.

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## Logics

- A logic is a pair  $(\mathcal{L}, \vDash_{\mathcal{L}})$  of classes satisfying the following conditions.
  - $\mathcal{L}$  is a class function which takes a language  $\tau$  to  $\mathcal{L}(\tau)$ : the set of all sentences in  $\tau$ .
  - $\models_{\mathcal{L}}$  is the class of all pairs  $(M, \varphi)$  such that M is a  $\tau$ -structure,  $\varphi \in \mathcal{L}(\tau)$  and M satisfies  $\varphi$  according to  $\mathcal{L}$ .
  - If  $\tau \subseteq \sigma$  are languages, then  $\mathcal{L}(\tau) \subseteq \mathcal{L}(\sigma)$ .
  - If  $\varphi \in \mathcal{L}(\tau)$ ,  $\sigma \supseteq \tau$  are languages, and M is a  $\sigma$ -structure, then  $M \vDash_{\mathcal{L}} \varphi$  if and only if the reduct  $M \upharpoonright \tau \vDash_{\mathcal{L}} \varphi$ .
  - If  $M \cong N$  are  $\tau$ -structures, then for all  $\varphi \in \mathcal{L}(\tau)$   $M \models_{\mathcal{L}} \varphi$  if and only if  $N \models_{\mathcal{L}} \varphi$ .
  - Every renaming f between languages τ and σ induces a bijection f<sub>\*</sub> : L(τ) → L(σ) such that for any τ-structure M and φ ∈ L(τ)

 $M \vDash_{\mathcal{L}} \varphi$  if and only if  $f^*(M) \vDash_{\mathcal{L}} f_*(\varphi)$ .

There is a least cardinal κ, called the occurrence number of L, such that for every sentence φ ∈ L(τ), there is a sub-language τ\* of size less than κ such that φ ∈ L(τ\*).

Note: Formulas are accommodated by introducing and interpreting constants.

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#### Strong compactness cardinals

- A cardinal  $\kappa$  is a strong compactness cardinal for a logic  $\mathcal{L}$  if every  $<\kappa$ -satisfiable  $\mathcal{L}$ -theory has a model.
- **Compactness Theorem**:  $\omega$  is a strong compactness cardinal for first-order logic.

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#### Compactness for $\mathbb{L}_{\kappa,\kappa}$ and $\mathbb{L}_{\kappa,\omega}$

(Tarski) A cardinal  $\kappa$  is strongly compact if every  $\kappa$ -complete filter can be extended to a  $\kappa$ -complete ultrafilter.

- Strongly compact cardinals are stronger than measurable cardinals.
- (Magidor) It is consistent that the least strongly compact cardinal is the least measurable cardinal.

Theorem: (Tarski) The following are equivalent:

- $\kappa$  is a strong compactness cardinal for  $\mathbb{L}_{\kappa,\omega}$ .
- $\kappa$  is a strong compactness cardinal for  $\mathbb{L}_{\kappa,\kappa}$ .
- $\kappa$  is strongly compact.

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# Compactness for $\mathbb{L}_{\omega_1,\omega_1}$ and $\mathbb{L}(Q^{WF})$

(Magidor) A cardinal  $\kappa$  is  $\omega_1$ -strongly compact if every  $\kappa$ -complete filter can be extended to a countably complete ultrafilter.

- $\omega_1$ -strongly compact cardinals are stronger than measurable cardinals.
- (Magidor) It is consistent that the least ω<sub>1</sub>-strongly compact cardinal is the least measurable cardinal.
- (Bagaria, Magidor) It is consistent that the least ω<sub>1</sub>-strongly compact cardinal is above the least measurable cardinal.

**Theorem**: (Magidor) The following are equivalent:

- $\kappa$  is a strong compactness cardinal for  $\mathbb{L}_{\omega_1,\omega_1}$ .
- $\kappa$  is a strong compactness cardinal for  $\mathbb{L}(Q^{WF})$ .
- $\kappa$  is  $\omega_1$ -strongly compact.

# Strong compactness cardinals for $\mathbb{L}^2$ and $\mathbb{L}(I)$

A cardinal  $\kappa$  is extendible if for every  $\alpha > \kappa$ , there is an elementary embedding  $j: V_{\alpha} \to V_{\beta}$  with crit $(j) = \kappa$ , and  $j(\kappa) > \alpha$ .

Extendible cardinals are stronger than strongly compact cardinals.

**Theorem:** (Magidor) The least extendible cardinal is the least strong compactness cardinal for  $\mathbb{L}^2$ .

A cardinal  $\kappa$  is supercompact if for every  $\alpha > \kappa$ , there is an elementary embedding  $j: V \to M$  with crit $(j) = \kappa$  and  $M^{\alpha} \subseteq M$ .

**Theorem**: (Boney, Osinski) It is consistent that the least strong compactness cardinal for  $\mathbb{L}(I)$  is greater than or equal to the least supercompact cardinal.

Strong compactness cardinals for the sort logics  $\mathbb{L}^{s,n}$ 

 $\boldsymbol{C}^{(n)} = \{ \alpha \in \mathrm{Ord} \mid \boldsymbol{V}_{\alpha} \prec_{\boldsymbol{\Sigma}_n} \boldsymbol{V} \}$ 

(Bagaria) A cardinal  $\kappa$  is  $C^{(n)}$ -extendible if for every  $\alpha > \kappa$  in  $C^{(n)}$ , there is an elementary embedding  $j: V_{\alpha} \to V_{\beta}$  with crit $(j) = \kappa$ ,  $\beta \in C^{(n)}$ , and  $j(\kappa) > \alpha$ .

- Extendible cardinals are  $C^{(1)}$ -extendible.
- $C^{(n)}$ -extendible cardinals form a hierarchy.

**Theorem**: (Boney) The least  $C^{(n)}$ -extendible cardinal is the least strong compactness cardinal for  $\mathbb{L}^{s,n}$ .

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#### Universal strong compactness

Vopěnka's Principle holds if for every proper class of first-order structures in the same languages there are two structures which elementarily embed.

**Theorem:** (Bagaria) Vopěnka's Principle holds if and only if for every  $n < \omega$  there is a  $C^{(n)}$ -extendible cardinal.

**Theorem**: (Makowsky) Every logic has a strong compactness cardinal if and only if Vopěnka's Principle holds.

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## Upwards Löwenheim-Skolem-Tarski numbers

Fix a logic  $\mathcal{L}$ .

The Hanf number of  $\mathcal{L}$  is the least cardinal  $\delta$  such that such that for every language  $\tau$  and  $\mathcal{L}(\tau)$ -sentence  $\varphi$ , if a  $\tau$ -structure  $M \models_{\mathcal{L}} \varphi$  has size  $\gamma \geq \delta$ , then for every cardinal  $\overline{\gamma} > \gamma$ , there is a  $\tau$ -structure  $\overline{M}$  of size at least  $\overline{\gamma}$  such that  $\overline{M} \models_{\mathcal{L}} \varphi$ .

**Theorem**: (Folklore) Every logic has a Hanf number.

(Galeotti, Khomskii, Väänänen) The upward Löwenheim-Skolem-Tarski number  $ULST(\mathcal{L})$ , if it exists, is the least cardinal  $\delta$  such that for every language  $\tau$  and  $\mathcal{L}(\tau)$ -sentence  $\varphi$ , if a  $\tau$ -structure  $M \models_{\mathcal{L}} \varphi$  has size  $\gamma \geq \delta$ , then for every cardinal  $\overline{\gamma} > \gamma$ , there is a  $\tau$ -structure  $\overline{M}$  of size at least  $\overline{\gamma}$  such that  $\overline{M} \models_{\mathcal{L}} \varphi$  and  $M \subseteq \overline{M}$  is a substructure of  $\overline{M}$ .

The strong upward Löwenheim-Skolem-Tarski number  $\operatorname{SULST}(\mathcal{L})$ , if it exists, is the least cardinal  $\delta$  such that for every language  $\tau$  and every  $\tau$ -structure M of size  $\gamma \geq \delta$ , for every cardinal  $\overline{\gamma} > \gamma$ , there is a  $\tau$ -structure  $\overline{M}$  of size at least  $\overline{\gamma}$  such that  $M \prec_{\mathcal{L}} \overline{M}$  is an  $\mathcal{L}$ -elementary substructure of  $\overline{M}$ .

**Upward Löwenheim-Skolem Theorem**:  $\omega$  is the strong upward Löwenheim-Skolem-Tarski number of first-order logic.

**Theorem**: (Galeotti, Khomskii and Väänänen) If  $ULST(\mathbb{L}^2)$  exists, then for every  $n \in \omega$  there is an *n*-extendible cardinal  $\lambda \leq ULST(\mathbb{L}^2)$ .

## Compactness and upward Löwenheim-Skolem-Tarski numbers

**Proposition**: If a logic  $\mathcal{L}$  has a strong compactness cardinal  $\kappa$ , then  $\text{SULST}(\mathcal{L}) \leq \kappa$ . **Proof**:

- Fix a  $\tau$ -structure M of size  $\gamma \geq \kappa$ .
- Fix a cardinal  $\overline{\gamma} > \gamma$ .
- Let  $\tau'$  be the language  $\tau$  extended by adding  $\overline{\gamma}$ -many constants  $\{c_{\xi} \mid \xi < \overline{\gamma}\}$ .
- Let T be the  $\mathcal{L}(\tau')$ -theory:
  - $\mathcal{L}$ -elementary diagram of M
  - $\blacktriangleright \{c_{\xi} \neq c_{\eta} \mid \xi < \eta < \overline{\gamma}\}$
- T is  $<\kappa$ -satisfiable (holds in M).
- T has a model.  $\Box$

**Corollary**: If Vopěnka's Principle holds, then every logic has a strong upward Löwenheim-Skolem-Tarski number.

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### The power of substructures in set theory

Suppose  $(M, \in)$  and  $(N, \in)$  are transitive structures closed under the pairing function.

- $Tr(\varphi, (x_1, \ldots, x_n))$  if and only if  $(M, \in) \models \varphi(x_1, \ldots, x_n)$  is the truth predicate.
- S(x, y) if and only if  $y = x \cup \{x\}$  is the successor function.
- P(x, y, z) if and only if z = (x, y) is the pairing function.

**Proposition**: If  $j : (M, \in, S, P, Tr) \rightarrow (N, \in, S, P, Tr)$  is an embedding, then j is elementary.

# Upward Löwenheim-Skolem-Tarski numbers for $\mathbb{L}(Q^{WF})$ Theorem: If $\kappa$ is a measurable cardinal, then $\mathrm{SULST}(\mathbb{L}(Q^{WF})) \leq \kappa$ .

Proof:

- Fix a  $\tau$ -structure *N* of size  $\gamma \geq \kappa$ .
- Fix a cardinal  $\overline{\gamma} > \gamma$ .
- Let  $j: V \to M$  be an elementary embedding with  $\operatorname{crit}(j) = \kappa$  and  $j(\kappa) > \overline{\gamma}^+$  (sufficiently iterated ultrapower).
- $j(N) \in M$  is a  $j(\tau)$ -structure, and hence  $j " \tau$ -structure.
- j(N) is a  $\tau$ -structure modulo the renaming which takes  $\tau$  to  $j " \tau$ .
- Let the renaming take  $\varphi$  to  $\overline{\varphi}$ .
- $\overline{N} = j " N \subseteq j(N)$  is a  $\tau$ -substructure of j(N).
- $N \stackrel{j}{\cong} \overline{N}$
- $\overline{N} \prec_{\mathbb{L}(Q^{\mathrm{WF}})} j(N)$ 
  - Suppose  $\overline{N} \models_{\mathbb{L}(Q^{WF})} \varphi(j(a)).$
  - $N \models_{\mathbb{L}(Q^{WF})} \varphi(a)$  via the isomorphism j.
  - $M \models \widetilde{j}(N) \models_{\mathbb{L}(Q^{WF})} \overline{\varphi}(j(a))$  by elementarity of *j*.
  - ►  $j(N) \models_{\mathbb{L}(Q^{WF})} \overleftarrow{\phi}(j(a))$  as a  $j(\tau)$ -structure (*M* is well-founded)
  - ►  $j(N) \models_{\mathbb{L}(Q^{WF})} \varphi(j(a))$  modulo the renaming.
- Since  $|N| \ge \kappa$ ,  $|j(N)| \ge j(\kappa) > \overline{\gamma}$ .  $\Box$

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# Upward Löwenheim-Skolem-Tarski numbers for $\mathbb{L}(Q^{WF})$ (continued)

**Theorem:** If  $ULST(\mathbb{L}(Q^{WF}))$  exists, then it is the least measurable cardinal. **Proof**:

- Let  $\operatorname{ULST}(\mathbb{L}(Q^{WF})) = \delta$ .
- Suffices to show there is a measurable cardinal  $\leq \delta$ .
- Let  $\mathcal{M} = (\mathcal{H}_{\delta^+}, \in, \delta, S, P, \mathrm{Tr}).$
- $\mathcal{M} \models_{\mathbb{L}(Q^{\mathrm{WF}})} \varphi$ :
  - I am well-founded.
  - $\delta$  is the largest cardinal.
  - S is the successor function, P is the pairing function, Tr is the truth predicate.
- Let  $\mathcal{N} = (N, \mathsf{E}, \overline{\delta}, \overline{S}, \overline{P}, \overline{\mathrm{Tr}}) \models \varphi$  of size  $\gg \delta$  with  $\mathcal{M} \subseteq \mathcal{N}$ .
- Since  $\mathcal{N}$  is well-founded, we can assume:
  - ► **E** =∈,
  - N is transitive,
  - $j: H_{\delta^+} \to N$  such that  $j(\delta) = \overline{\delta} \gg \delta$ .
- j is elementary.
- Let  $\operatorname{crit}(j) = \kappa \leq \delta$ .
- Use j to derive a  $\kappa$ -complete ultrafilter on  $\kappa$ .  $\Box$

# Upward Löwenheim-Skolem-Tarski numbers for $\mathbb{L}(Q^{WF})$ (continued)

**Corollary**: The following are equivalent for a cardinal  $\kappa$ .

- $\kappa$  is the least measurable cardinal.
- $\kappa = \text{ULST}(\mathbb{L}(Q^{\text{WF}})).$
- $\kappa = \text{SULST}(\mathbb{L}(Q^{\text{WF}})).$

#### Corollary: It is consistent that:

- $ULST(\mathbb{L}(Q^{WF})) = SULST(\mathbb{L}(Q^{WF}))$  is the least strong compactness cardinal for  $\mathbb{L}(Q^{WF})$ .  $\omega_1$ -strong compact can be the least measurable.
- $ULST(\mathbb{L}(Q^{WF})) = SULST(\mathbb{L}(Q^{WF}))$  is smaller than the least strong compactness cardinal for  $\mathbb{L}(Q^{WF})$ .  $\omega_1$ -strongly compact can be above the measurable.
- $\mathrm{ULST}(\mathbb{L}(Q^{\mathrm{WF}})) = \mathrm{SULST}(\mathbb{L}(Q^{\mathrm{WF}}))$ , but  $\mathbb{L}(Q^{\mathrm{WF}})$  doesn't have a strong compactness cardinal. L[U] cannot have an  $\omega_1$ -strongly compact cardinal.

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# Upward Löwenheim-Skolem-Tarski numbers for $\mathbb{L}^2$ and $\mathbb{L}^{s,n}$

Observations:

- Targets of extendible embeddings are correct about  $\mathbb{L}^2$ .
- Targets of  $C^{(n)}$ -extendible embeddings are correct about  $\mathbb{L}^{s,n}$ .

**Theorem**: The following are equivalent for a cardinal  $\kappa$ .

- $\kappa$  is the least extendible cardinal.
- $\kappa$  is the least strong compactness cardinal for  $\mathbb{L}^2$ .
- $\kappa = \operatorname{SULST}(\mathbb{L}^2).$
- $\kappa = \text{ULST}(\mathbb{L}^2).$

**Theorem**: The following are equivalent for a cardinal  $\kappa$  and  $n < \omega$ .

- $\kappa$  is the least  $C^{(n)}$ -extendible cardinal.
- $\kappa$  is the least strong compactness cardinal for  $\mathbb{L}^{s,n}$ .
- $\kappa = \operatorname{SULST}(\mathbb{L}^{s,n}).$
- $\kappa = \text{ULST}(\mathbb{L}^{s,n}).$

**Corollary**: Every logic has an upward Löwenheim-Skolem-Tarski number if and only if Vopěnka's Principle holds.

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## Tall cardinals

(Hamkins) A cardinal  $\kappa$  is tall if for every  $\theta > \kappa$ , there is an elementary embedding  $j: V \to M$  with  $\operatorname{crit}(j) = \kappa$ ,  $M^{\kappa} \subseteq M$ , and  $j(\kappa) > \theta$ . A cardinal  $\kappa$  is tall with closure  $\lambda \leq \kappa$  if  $M^{\lambda} \subseteq M$ , and tall with closure  $<\lambda$  if  $M^{<\lambda} \subset M$ .

A cardinal  $\kappa$  is tall pushing up  $\delta$  if for every  $\theta > \delta$ , there is an elementary embedding  $j: V \to M$  with  $\operatorname{crit}(j) = \kappa$ ,  $M^{\kappa} \subseteq M$ , and  $j(\delta) > \theta$ . A cardinal  $\kappa$  is tall pushing up  $\delta$  with closure  $\lambda \leq \kappa$  if  $M^{\lambda} \subseteq M$ , and tall with closure  $<\lambda$  if  $M^{<\lambda} \subset M$ .

A cardinal  $\delta$  is supreme for tallness if for all  $\lambda < \delta$ , there is a cardinal  $\lambda < \kappa \leq \delta$  that is tall pushing up  $\delta$  with closure  $\lambda$ .

- (Gitik) Tall cardinals are stronger than measurable cardinals. equiconsistent with strong cardinals
- Strongly compact cardinals are stronger than tall cardinals.
- (Hamkins) If  $\kappa$  is tall with closure  $<\kappa$ , then  $\kappa$  is tall.
- (Hamkins) It is consistent that there is a tall cardinal  $\kappa$  pushing up some  $\delta > \kappa$ , which is not tall.
- A limit of tall cardinals is supreme for tallness.

Question: Are tall cardinals and tall cardinals pushing up  $\delta$  equiconsistent?

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## Upward Löwenheim-Skolem-Tarski numbers for $\mathbb{L}_{\kappa,\kappa}$

**Observation**: Targets of tall with closure  $<\lambda$  embeddings are correct about  $\mathbb{L}_{\lambda,\lambda}$ .

**Proposition**: ULST( $\mathbb{L}_{\kappa,\kappa}$ )  $\geq \kappa$ .

**Theorem:** If there is a tall cardinal  $\kappa$  pushing up  $\delta$  with closure  $<\lambda$ , then  $\operatorname{SULST}(\mathbb{L}_{\lambda,\lambda}) \leq \delta$ . In particular, if  $\kappa$  is tall, then  $\operatorname{SULST}(\mathbb{L}_{\kappa,\kappa}) = \operatorname{ULST}(\mathbb{L}_{\kappa,\kappa}) = \kappa$ .

**Theorem:** If  $\operatorname{SULST}(\mathbb{L}_{\lambda,\lambda}) = \delta$ , then there is a tall cardinal  $\lambda \leq \kappa \leq \delta$  pushing up  $\delta$  with closure  $<\lambda$ . In particular, if  $\operatorname{SULST}(\mathbb{L}_{\kappa,\kappa}) = \kappa$ , then  $\kappa$  is tall.

**Corollary**: It is consistent that  $\text{ULST}(\mathbb{L}_{\kappa,\kappa}) = \text{SULST}(\mathbb{L}_{\kappa,\kappa}) = \kappa$ , but  $\kappa$  is not a strong compactness cardinal for  $\mathbb{L}_{\kappa,\kappa}$ .

**Theorem:** If  $\delta$  is supreme for tallness, then  $\text{ULST}(\mathbb{L}_{\lambda,\lambda}) \leq \delta$  exists for every regular  $\lambda \leq \delta$ . In particular, if  $\delta$  is regular, then  $\text{ULST}(\mathbb{L}_{\delta,\delta}) = \delta$ .

**Theorem**: If  $ULST(\mathbb{L}_{\lambda,\lambda}) = \lambda$ , then  $\lambda$  is supreme for tallness.

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## Upward Löwenheim-Skolem-Tarski numbers for $\mathbb{L}_{\kappa,\kappa}$ (continued)

**Theorem**: It is consistent that  $\lambda$  is inaccessible,  $ULST(\mathbb{L}_{\lambda,\lambda})$  exists, but  $SULST(\mathbb{L}_{\lambda,\lambda})$  does not exist.

**Proof sketch**: Use forcing to produce a model with:

- An inaccessible  $\lambda$  that is a limit of tall cardinals.
- No measurable cardinals  $\geq \lambda$ .

**Theorem**: It is consistent that  $\lambda$  is inaccessible and  $ULST(\mathbb{L}_{\lambda,\lambda}) < SULST(\mathbb{L}_{\lambda,\lambda})$ . **Proof sketch**: Use forcing to produce a model with:

- $\bullet$  An inaccessible  $\lambda$  that is a limit of tall cardinals.
- $\lambda$  is not tall.
- There is a tall cardinal above  $\lambda$ .

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# $\mathbb{L}(I)$ and well-foundedness

Let  $ZFC^*$  be a sufficiently large finite fragment of ZFC:

- the ordinals form a well-ordered class,
- for every ordinal  $\alpha$  there is a sequence of cardinals of order-type  $\alpha$ ,
- the sets are the union of the von Neumann hierarchy.

**Theorem**: (Goldberg) If  $(M, E) \models ZFC^*$  is cardinal correct, then it is well-founded. **Proof**:

- Suffices to show that every ordinal is well-founded.
- Fix an ordinal  $\alpha$  in M.
- Let  $\{\kappa_{\xi} \mid \xi \to \alpha\}$  be a sequence of cardinals of order-type  $\alpha$  in M.
- In  $V |\kappa_{\xi}| < |\kappa_{\eta}|$  for all  $\xi \ge \eta \ge \alpha$  (cardinal correctness).
- $\alpha$  is well-founded.  $\Box$

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#### Cardinal correct extendible cardinals

A cardinal  $\kappa$  is cardinal correct extendible if for every  $\alpha > \kappa$ , there is an elementary embedding  $j : V_{\alpha} \to M$  with  $\operatorname{crit}(j) = \kappa$ , M cardinal correct, and  $j(\kappa) > \alpha$ . A cardinal  $\kappa$  is weakly cardinal correct extendible if we remove  $j(\kappa) > \alpha$ .

A cardinal  $\kappa$  is cardinal correct extendible pushing up  $\delta$  if for every  $\alpha > \kappa$ , there is an elementary embedding  $j: V_{\alpha} \to M$  with crit $(j) = \kappa$ , M cardinal correct, and  $j(\delta) > \alpha$ .

Theorem:

- If  $\kappa$  is cardinal correct extendible, then  $\kappa$  is strongly compact.
- If κ is weakly cardinal correct extendible, then either κ is strongly compact or there is an inaccessible α such that V<sub>α</sub> satisfies that there is a strongly compact cardinal.

**Theorem**: It is consistent that the least supercompact cardinal is not not cardinal correct extendible.

**Theorem**: (Osinski, Poveda) It is consistent that the least supercompact cardinal is cardinal correct extendible.

Questions:

- Are cardinal correct extendible cardinals weaker than extendible cardinals?
- Can the least measurable be the least cardinal correct extendible?
- Are weakly cardinal correct extendible cardinals equivalent to cardinal correct extendible cardinals?

# Upward Löwenheim Skolem numbers for $\mathbb{L}(I)$

Observation: The target of a cardinal correct extendible embedding is cardinal correct.

**Theorem:** If there is a cardinal correct extendible cardinal  $\kappa$  pushing up  $\delta$ , then  $SULST(\mathbb{L}(I)) \leq \delta$ .

**Theorem:** If  $ULST(\mathbb{L}(I))$  exists, then there are  $\kappa \leq \gamma$  such that  $\kappa$  is cardinal correct extendible pushing up  $\gamma$ .

**Theorem:** It is consistent that  $ULST(\mathbb{L}(I))$  is strictly above the least supercompact cardinal.

Question: If  $SULST(\mathbb{L}(I)) = \delta$ , is there a cardinal correct extendible  $\kappa \leq \delta$  pushing up  $\delta$ ?

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